MENTAL HEALTH PARITY COMPLIANCE REPORT TEMPLATE

The goal of the Mental Health Parity Compliance Report is to compare limitations imposed on behavioral health services to limitations imposed on medical/surgical services. The report shall provide information as requested below. For purposes of this report, behavioral health services include both mental health services and substance use disorder services. Utilization management includes prior authorization, concurrent review, and retrospective review.

A. Describe and explain any changes to utilization management protocols/guidelines/processes for behavioral health services (inpatient and outpatient) and for medical/surgical services (inpatient and outpatient) during the prior fiscal year.

B. Describe and explain any changes in which inpatient and outpatient services are subject to utilization management for both behavioral health services and medical/surgical services during the prior fiscal year.

C. Complete the tables below by providing data (separately for inpatient and outpatient) for (1) requests for prior authorization/concurrent review/retrospective review, (2) denials, (3) appeals, and (4) overturns for behavioral health services and for medical/surgical services. The below tables can be used as a template for an Excel spreadsheet.

Inpatient

	Behavioral Health		Medical/Surgical	
	Count	Percentage	Count	Percentage
Requests for certification – initial, concurrent reviews, and retrospective reviews		N/A		N/A
Denials				
Appeals				
Overturns				

Outpatient

	Behavioral Health		Medical/Surgical	
	Count	Percentage	Count	Percentage
Requests for prior authorization, concurrent reviews, retrospective reviews		N/A		N/A
Denials				
Appeals				
Overturns				

D. Were there any changes to benefits or limitations during the prior fiscal year (other than those identified in A and B above) that impact mental health/substance use disorder parity as defined in the MHPAEA CMS final rule? If yes, describe and explain the changes.

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